

(with HKIB Professional Membership)

- 1. Please read carefully the "<u>Guidelines of Certification Application for Associate Cybersecurity</u>

 <u>Professional (ACsP)</u>" BEFORE completing this application form.
- 2. This application form is ONLY for Relevant Practitioner of an <u>Authorized Institution (AI)</u> supervised by the Hong Kong Monetary Authority (HKMA).
- 3. Before application submission, please ensure the endorsement from HR department for the verification on Key Roles / Responsibilities for Cybersecurity practitioners (Appendix) is obtained.

Section A: Personal Particulars

(Please use block letters to complete the information requested below.)

Note: Related personal data in your examination and membership records (if you already have membership		
record(s) kept in HKIB) will also be updated with the in	formation provided in this form.	
Title: ☐ Mr ☐ Ms ☐ Dr	HKIB Membership: (Please specify the Membership No.)	
Name in English: (as shown on identity document)	Name in Chinese: (as shown on identity document)	
(Surname) (Given Name)		
HKID / Passport Number* (please delete where inappropria	te):	
Date of Birth (DD/MM/YYYY):		
Name of Employer (Authorized Institution):		
Mobile Phone No. :	Office Telephone No.:	
Primary Email Address¹:	Secondary Email Address (if any):	
Position / Job Title:	Department:	
Office Address:		
Residential Address:		
Correspondence Address: ☐ Office Address ☐ F	Residential Address	
Highest Academic Qualification Obtained: Univ	versity / Tertiary Institution: Year of Completion:	
Other Professional Qualifications: Prof	essional Bodies:	
Total Number of Years and Months of Work Experien	ce in cybersecurity role	
Years Months		

Please"√"the appropriate boxes.

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¹ Note: All HKIB Professional Qualifications and membership related communication will be sent via email by using the Primary Email Address.



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Section B: Declaration related to Disciplinary Actions, Investigations for Non-compliance and Financial Status

You are required to answer the following questions by selecting "Yes" or "No".

1.	 Have you ever been reprimanded, censured, disciplined by any professiona regulatory authority? 		nal or
	regulatory datasetty.	□ Yes	□ No
2.	Have you ever had a record of non-compliance with any non-state been censured, disciplined or disqualified by any professional or body in relation to your profession?	•	•
		□ Yes	□ No
3. Have you ever been investigated about offences involving fraud or dishonesty or adjudged by a court to be criminally or civilly liable for fraud, dishonesty or misfeasance?		•	
		☐ Yes	□ No
4.	Have you ever been refused or restricted from the right to carry profession for which a specific license, registration or other authrequired by law?	•	S
		☐ Yes	□ No
5.	Have you ever been adjudged bankrupt, or served with a bankrupt	uptcy petit	ion?
		□ Yes	□ No
	es: If you have answered "Yes" to any of the above questions, ple ils by attaching all relevant documents relating to the matter(s) at	•	de more

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Section C: Application Fee

Non-refundable Certification Fee for ACsP (Valid until 31 December 2022):

- Not Currently a HKIB member: HKD1,650
- Current HKIB Professional member: Waived (Valid until 31 December 2021)
- HKIB Default Member: HKD3,650
 (HKD2,000 reinstatement fee + HKD1,650 certification fee)

□ Paid by Employer		
☐ A cheque / e-Cheque* mad	de payable to " The Ho	ong Kong Institute of Bankers"
(Cheque no* For e-Cheques, please state "ECF of completed application form, to cert.gt	on Cybersecurity Certification"	under 'remarks' and email, together with the
□ Credit Card: (□ VISA	☐ Mastercard)	Amount: HKD
Cardholder's Name:		Signature:
Card No.:		Expiry Date:
		(mm/yy)

Section D: Statement on Collection of Personal Data

- It is necessary for applicants to supply their personal data and to provide all the information requested
 in the application documents, as otherwise HKIB may be unable to process and consider their
 applications.
- The personal data provided in this form will be used for processing your application for membership, programme and examination, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKIB staff but may be transferred to an authorised third party providing services to HKIB in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
- When the processing and consideration of all the applications for a particular programme have been completed: (a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and related papers would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKIB staff or by staff of an authorised third party providing services to HKIB in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.

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- Applicants understand that they have the right to check whether HKIB holds personal data about me and that, if so, they have a right of access to their personal data. They can request HKIB to correct any inaccurate personal data and if they need to obtain a copy of their personal data or have it corrected, they can write to HKIB. They understand that HKIB is permitted by law to charge a reasonable fee for the processing of any data access request.
- Personal data provided on the application form will be used by HKIB for the purpose relating to application and admission. For details of the <u>Policy of Personal Data Protection</u> Statement, please refer to the website: http://www.hkib.org

☐ Please tick if you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts, promotion and offers from time to time.

Section E: Acknowledgement and Declaration

- I declare that all information I have provided in this form is true and correct and will be used for the purpose of administration and communication by The Hong Kong Institute of Bankers (HKIB).
- I understand that the fees paid are non-refundable and non-transferable.
- I authorize HKIB to obtain and the relevant authorities to release, any information about my qualifications and / or employment as required for my application.
- I acknowledge that HKIB has the right to withdraw my ACsP Certification application if I do not meet the requirements.
- I understand that as a member of HKIB, I shall be bound by the prevailing rules and regulations of the Institute. I agree to abide by HKIB's rules and regulations in HKIB Members' Handbook.
- I agree to notify HKIB of any material changes to my responses to any of the questions in this application, including my contact details. I understand and agree that HKIB may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary actions for any misrepresentation (whether fraudulent and otherwise) in this application.
- I understand and agree to comply with all conditions, requirements, policies and procedures established by HKIB as may be amended from time to time.
- I confirm that I have read and understood the <u>Policy of Personal Data Protection</u> set out on HKIB website at https://www.hkib.org/, and consent to the terms set out therein. I also understand that the Institute will use the information provided and personal data collected for administration and communication purposes.

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- I understand that Professional Membership shall run from 1 January to 31 December in each calendar year. Members who fail to pay their subscription / certification fees by 31 January of each calendar year will be treated as default members and the reinstatement policy will therefore be applied.
- I understand that it is compulsory for all individuals to maintain a valid membership status with HKIB if
 the applicants want to be certified and maintain HKIB Professional Qualifications (e.g. CB, CB (Stage II),
 CB (Stage I), CFMP, AAMLP, CAMLP, CRWP, ARWP, ACsP, ACRP, CCRP(CL), CCRP(CPM)). For all
 Professional Qualification holders, they have to maintain HKIB professional membership status and fulfill
 annual CPD requirement.
- I attach herewith copies of Advanced Certificate for ECF on Cybersecurity examination result.
- I have read and agreed to comply with the "Guidelines of Certification Application for Associate Cybersecurity Professional (ACsP)" BEFORE completing this application form.

Signature		Date	
(Name:)		

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Appendix: Verified and signed by HR

Certification Application Form for Associate Cybersecurity Professional (ACsP)

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To complete the application process, you are required to ask HR department to verify and sign this appendix.

HR Department Verification on Key Roles / Responsibilities for Cybersecurity Practitioners

Please use <u>BLOCK LETTERS</u> for Please fill in the relevant informat	ion of your <u>CURRENT</u> position only.
Current Position / Functional Title	
Full Name of Applicant (as on HKID / Passport)	
Name of Current Employer (Authorized Institution)	
Business Division / Department	
Employment Period (DD / MM / YYYY)	From: To: Please indicate the appropriate "Key Roles / Responsibilities" in the table on Page 7
Job Role(s) (You may select more than one role)	☐ Role 1: IT Security Operations and Delivery ☐ Role 2: IT Risk Management and Control ☐ Role 3: IT Audit
Work Location	☐ Hong Kong ☐ Others, please specify:

Please"√"the appropriate boxes.

Note:

Appendix: Verified and signed by HR

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Please tick the appropriate key roles / responsibilities in relation to your **current** functional title / position. You may take more than one responsibility in one selected role.

Key Roles / Responsibilities	Please "√" where appropriate
☐ Role 1: IT Security Operations and Delivery	
☐ Operational Tasks:	
Implement and enforce the bank's IT security policies.	
2. Responsible for the day-to-day security operation of the bank including	
access control configuration, reviewing program changes requests, reviewing IT incidents, security reporting and etc.	
Implement cybersecurity monitoring framework.	
4. Collect data on cybersecurity related risk, attacks, breaches and incidents, including external data and statistics as appreciate.	
5. Investigate security incidents by gathering evidence and reviewing system logs / audit trails.	
6. Provide operational support to systems and network teams regarding security related matters.	
☐ Technical Tasks:	
Monitor network traffic through implemented security tools to proactively	
identify indicators of compromise (e.g. Host based IDS/IPS, network	
based IDS/IPS, firewall logs, application logs).	
Perform maintenance and operation support for security devices such as firewall, IPS/IDS, VPN, anti-virus and encryption services.	
3. Participate in developing, tuning and implementing threat detection analytics.	
☐ Role 2: IT Risk Management and Control	
Assist management in developing processes and controls to manage IT risks and control issues.	
Assist in communicating the risk management standards, policies and procedures to stakeholders.	
3. Apply processes to ensure that IT operational and control risks are at an	

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acceptable level within the risk thre adequacy of risk management cont	esholds of the bank, by evaluating the trols.	
Analyse and report to managem compliance of risk management po		
☐ Role 3: IT Audit		
Assist in the execution of audits in a	compliance with audit standards.	
2. Assist in the fieldwork and conduct	ing tests.	
Assist in evaluating data collected factors	from tests.	
4. Document the audit, test and asses	ssment process and results.	
Ensure appropriate audit follow-up	actions are carried out promptly.	
Verification by HR Departrement of the employment information provided information should be consistent with department.	d by the applicant in this form has be	
Signature & Company Chop		
Name:		
Department: Position:		

Certification Application Form for Associate Cybersecurity Professional (ACsP) (with HKIB Professional Membership)

FOR	INSTITUTE USE ONLY	
Received by :	(Staff Name)	(Date)
Assessed by :	(Staff Name)	(Date)
Approved / Rejected :	(Staff Name)	(Date)

Document Checklist		
To facilitate the application process, please check the following items before submission to HKIB. Thank you.		
□ Completed and Signed Application Form		
☐ Key Roles / Responsibilities verified by the HR/ relevant department of your organisation		
□ Certified true copies of your HKID / Passport enclosed		
☐ Copies of your examination result for Advanced Certificate for ECF on Cybersecurity enclosed		
☐ Payment or evidence of payment enclosed (cheque or completed Credit Card Payment Instructions)		
Please keep a copy of all relevant documents for your own records before submission.		